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PATENT  
Attorney Docket No.: NUASI-00103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Charles Hymes et al.

Serial No.: 10/633,250

Filed: July 31, 2003

For: **AUTOMATIC MANAGEMENT OF  
THE VISUAL SPACE WHILE  
PERFORMING A TASK**

) Group Art Unit: 2173

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No.: 28960

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-7-04

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 5-7-04 By: John D. Rusan



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Attorney Docket No.: NUASI-00103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                     |   |   |
|-------------------------------------|---|---|
| In re Application of:               | ) | Art Unit: 2173                              |
| Charles Hymes et al.                | ) | Examiner:                                   |
| Serial No.: 10/633,250              | ) | <b><u>CERTIFICATION FOR</u></b>             |
| Filed: July 31, 2003                | ) | <b><u>SUPPLEMENTAL INFORMATION</u></b>      |
| For: <b>AUTOMATIC MANAGEMENT OF</b> | ) | <b><u>DISCLOSURE STATEMENT UNDER 37</u></b> |
| <b>THE VISUAL SPACE WHILE</b>       | ) | <b><u>CFR § 1.97(e)</u></b>                 |
| <b>PERFORMING A TASK</b>            | ) | 162 North Wolfe Road                        |
|                                     | ) | Sunnyvale, CA 94086                         |
|                                     | ) | (408) 530-9700                              |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached five (5) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on March 25, 2004. **Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-7-04

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

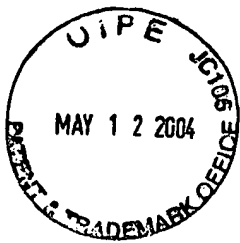
**CERTIFICATE OF MAILING (37 CFR§ 1.8(a))**

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- 2 -

HAVERSTOCK & OWENS LLP.

Date: 5-7-04 By: James D. Rosen



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) Group Art Unit: 2173

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) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
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\_\_\_\_\_  
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Sir:

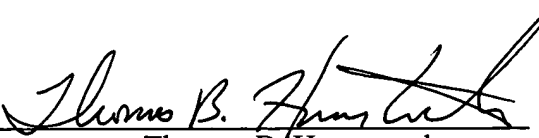
The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,613,068;
- U.S. Patent No. 6,201,804 B1;
- U.S. Patent No. 6,212,565 B1;
- U.S. Patent No. 6,377,568 B1; and
- U.S. Patent No. 6,434,143 B1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-7-04

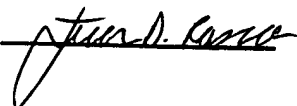
By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 5-7-04 By: 

FORM PTO-1449  
(Modified)

MAY 12 2004

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: NUASI-00103

Serial No.: 10/633,250

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Use Several Sheets If Necessary)

Applicants: Charles Hymes et al.

(37 CFR § 1.98(b))

Filing Date: 07/31/03

Group Art Unit: 2173

## U.S. PATENT DOCUMENTS

| Examiner Initials |    | Serial / Patent Number | Issue Date | Applicant / Patentee | Class | Subclass | Filing Date |
|-------------------|----|------------------------|------------|----------------------|-------|----------|-------------|
|                   | AA | 5,613,068              | 03/18/97   | Gregg et al.         | 395   | 200.13   | 06/17/94    |
|                   | AB | 6,201,804 B1           | 03/13/01   | Kikinis              | 370   | 352      | 03/09/98    |
|                   | AC | 6,212,565 B1           | 04/03/01   | Gupta                | 709   | 229      | 08/26/98    |
|                   | AD | 6,377,568 B1           | 04/23/02   | Kelly                | 370   | 352      | 11/19/97    |
|                   | AE | 6,434,143 B1           | 08/13/02   | Donovan              | 370   | 356      | 11/08/99    |
|                   | AF |                        |            |                      |       |          |             |
|                   | AG |                        |            |                      |       |          |             |
|                   | AH |                        |            |                      |       |          |             |
|                   | AI |                        |            |                      |       |          |             |
|                   | AJ |                        |            |                      |       |          |             |

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

|  |    | Document Number | Publication Date | Country / Patent Office | Class | Subclass | Translation |    |
|--|----|-----------------|------------------|-------------------------|-------|----------|-------------|----|
|  |    |                 |                  |                         |       |          | Yes         | No |
|  | AK |                 |                  |                         |       |          |             |    |
|  | AL |                 |                  |                         |       |          |             |    |
|  | AM |                 |                  |                         |       |          |             |    |
|  | AN |                 |                  |                         |       |          |             |    |
|  | AO |                 |                  |                         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

|  |    |  |
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|  | AP |  |
|  | AQ |  |
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|  | AX |  |
|  | AY |  |
|  | AZ |  |

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.